

# Lecture 25: Hypercontractivity and Parity over Large Sets

- For  $p \geq 1$ , let us define the  $p$ -norm of a function

$$\|f\|_p = \left( \frac{1}{N} \sum_{x \in \{0,1\}^n} |f(x)|^p \right)^{1/p}$$

- We can use Jensen's inequality to prove that  $\|f\|_p \leq \|f\|_q$  for any function  $f$ , when  $p < q$ , and equality holds if and only if  $|f|$  is a constant function

# Basic Observations

- We can show, using Jensen's inequality, that  $\|T_\rho(f)\|_p \leq \|f\|_p$   
(Intuition: because the noise operator smoothens the function)
- That is, “ $T_\rho(\cdot)$  contracts  $f$ ”
- By monotonicity of norm, we can conclude that  
 $\|T_\rho(f)\|_p \leq \|T_\rho(f)\|_q$ , for  $p \leq q$
- So, we summarize the above discussion using the following picture

$$\begin{array}{c} \|T_\rho(f)\|_p \leq \|f\|_p \\ \wedge \\ \|T_\rho(f)\|_q \end{array}$$

- But how does  $\|f\|_p$  relate to  $\|T_\rho(f)\|_q$ ?

# Hypercontractivity Theorem

## Theorem (Hypercontractivity Theorem)

For  $1 \leq p \leq q$  we have

$$\|T_\rho(f)\|_q \leq \|f\|_p,$$

for all  $0 \leq \rho \leq \sqrt{(p-1)/(q-1)}$ .

- Intuitively, the hypercontractivity theorem states that even the  $q$ -th norm of  $T_\rho(f)$  is smaller than the  $p$ -th norm of  $f$ , if  $q$  is slightly larger than  $p$ .
- The tightest inequality is obtained for  $\rho = \sqrt{(p-1)/(q-1)}$

## Special Case: $q = 2$

- Suppose  $p = 1 + \delta$  and  $q = 2$ , then the hypercontractivity theorem states that

$$\|T_\rho(f)\|_2 \leq \|f\|_{1+\delta},$$

for  $\rho = \sqrt{\delta}$

- By Parseval's identity, we have

$$\|T_\rho(f)\|_2^2 = \sum_{S \in \{0,1\}^n} \delta^{|S|} \widehat{f}(S)^2$$

- So, we conclude the following result

$$\sum_{S \in \{0,1\}^n} \delta^{|S|} \widehat{f}(S)^2 \leq \|f\|_{1+\delta}^2$$

- Comment: Proving the hypercontractivity theorem for  $1 \leq p \leq q = 2$  suffices to prove the general hypercontractivity theorem presented above

## Application: KKL Lemma

- KKL stands for Kahn-Kalai-Linial
- Suppose  $f: \{0, 1\}^n \rightarrow \{-1, 0, +1\}$
- Then, we have  $\|f\|_p = \mathbb{P}[f \neq 0]^{1/p}$
- By direct application of the hypercontractivity theorem, we can conclude that

### Lemma (KKL Lemma)

$$\sum_{S \in \{0,1\}^n} \delta^{|S|} \widehat{f}(S)^2 \leq \mathbb{P}[f \neq 0]^{2/(1+\delta)}$$

- Intuition: The left-hand side is dominated by the Fourier coefficients associated with “small-weight  $S$ .” So, the inequality states that the “total mass associated with the Fourier coefficients of small-weight  $S$ ” is much smaller than the probability of “encountering  $f$ .” Equivalently, a “small support  $f$ ” has low “total mass” on the Fourier coefficients of small  $S$ .

# Application: Parities of Large Sets is Unpredictable I

- Suppose  $A \subseteq \{0, 1\}^n$  and  $\mathbf{1}_{\{A\}}$  is the indicator function of the set  $A$
- For  $S \in \{0, 1\}^n$ , define

$$\beta_S = \frac{1}{|A|} \sum_{x \in A} \chi_S(x)$$

Intuitively, the quantity  $\beta_S$  represents “how random the set  $A$  appears” when we perform the test  $\chi_S$ . Smaller  $\beta_S$  implies more random  $A$  appears.

- We can perform the following manipulation

$$\beta_S = \frac{1}{|S|} \sum_{x \in A} \chi_S(x) = \frac{1}{|A|} \sum_{x \in \{0,1\}^n} \mathbf{1}_{\{A\}}(x) \chi_S(x) = \frac{N}{|A|} \langle \mathbf{1}_{\{A\}}, \chi_S \rangle = \frac{N}{|A|} \widehat{\mathbf{1}_{\{A\}}}(S)$$

## Application: Parities of Large Sets is Unpredictable II

- Our goal is to study the following quantity

$$\sum_{S \in \{0,1\}^n: |S|=k} \beta_S^2$$

Note that this quantity is the cumulative measure of randomness of all  $\chi_S$  test such that  $|S| = k$

- Now, we can use the expression of  $\beta_S$  to obtain

$$\sum_{S \in \{0,1\}^n: |S|=k} \beta_S^2 = \frac{N^2}{|A|^2} \sum_{S \in \{0,1\}^n: |S|=k} \widehat{\mathbf{1}_{\{A\}}}(S)^2$$

- The KKL Lemma provides us the perfect tool to upper-bound the right hand side. For any  $\delta \in [0, 1]$ , we have

$$\sum_{S \in \{0,1\}^n: |S|=k} \delta^k \widehat{f}(S)^2 \leq \sum_{S \in \{0,1\}^n} \delta^{|S|} \widehat{f}(S)^2 \leq \mathbb{P}[f \neq 0]^{2/(1+\delta)}$$



# Application: Parities of Large Sets is Unpredictable III

- So, we obtain

$$\begin{aligned}\sum_{S \in \{0,1\}^n: |S|=k} \beta_S^2 &= \frac{N^2}{|A|^2} \sum_{S \in \{0,1\}^n: |S|=k} \widehat{\mathbf{1}_{\{A\}}}(S)^2 \\ &\leq \frac{N^2}{|A|^2} \cdot \frac{1}{\delta^k} \cdot \mathbb{P}[\mathbf{1}_{\{A\}} \neq 0]^{2/(1+\delta)} \\ &= \frac{N^2}{|A|^2} \cdot \frac{1}{\delta^k} \cdot \left(\frac{|A|}{N}\right)^{2/(1+\delta)} \\ &= \left(\frac{N}{|A|}\right)^{2\left(1-\frac{1}{1+\delta}\right)} \cdot \frac{1}{\delta^k} = \left(\frac{N}{|A|}\right)^{\frac{2\delta}{1+\delta}} \cdot \frac{1}{\delta^k} \\ &\leq \left(\frac{N}{|A|}\right)^{2\delta} \cdot \frac{1}{\delta^k}\end{aligned}$$

# Application: Parities of Large Sets is Unpredictable IV

- Now, our objective is to find  $\delta \in [0, 1]$  that minimizes the right hand side expression. This part is left as an exercise.
- At the end, for this value of  $\delta$ , we shall have

$$\binom{n}{k}^{-1} \sum_{S \in \{0,1\}^n: |S|=k} \beta_S^2 = O\left(1 - \frac{a}{n}\right)^k,$$

where  $|A| = 2^a$ . That is, the average bias is exponentially small. This bound is also (essentially) tight.